

GP/2152
H4



Attorney's Docket No. 003801.P026

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Barry Boone)
Application No.: 09/740, 502)
Filed: December 19, 2000)
For: Method And Apparatus For Providing)
Predefined Feedback)

) Examiner: Not Yet Assigned

) Art Unit: 2152

Assistant Commissioner for Patents
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

FIRST CLASS CERTIFICATE OF MAILING
(37 C.F.R. § 1.10(f))

I hereby certify that this certificate of mailing was deposited with the United States Postal Service on August 15, 2001 with sufficient postage affixed and is in compliance with the provisions of the Act of August 24, 1948, known as the "Assistant Commissioner for Patents Act".

on August 15, 2001

Debbie Pelagrin
Name of Person to whom addressed

Debbie Pelagrin 8-15-01
Signature Date

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

37 C.F.R. §1.97(b).

37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

A statement pursuant to 37 C.F.R. §1.97(e) or

A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

(1) A statement pursuant to 37 C.F.R. §1.97(e); and

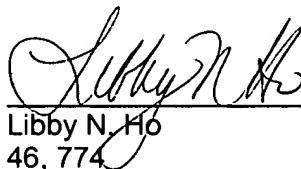
(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: Aug. 15, 2001



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Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/740,502
		Filing Date	December 19, 2000
		First Named Inventor	Barry Boone
		Group Art Unit	2152
		Examiner Name	Not Yet Assigned
Sheet	7	of	7
		Attorney Docket Number	003801.P026

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	ZWASS, VLADIMIR., "Electronic Commerce: Structures and Issues", <u>International Journal of Electricnic Commerce</u> , Fall 1996, Pages 3-23, Vol, 1, No. 1	

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	VAN HECK, E; RIBBERS, PM., "Experiences with Electronic Auctions in the Dutch Flower Industry", Focus Theme, No Date Given, Pages 1-6	/
	LEE, HG., "Aucnet: Electronic Intermediary for Used-car Transactions", Focus Theme, No Date Given, Pages 1-5	/
	KLEIN, S., "Introduction to Electronic Auctions", Focus Theme, No Date Given, Pages 1-4	/
	TURBAN, E., "Auctions and Bidding on the Internet an Assessment", Focus Theme, No Date Given, Pages 1-5	/

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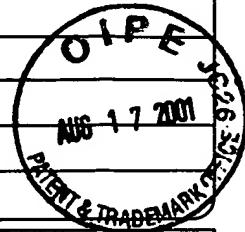
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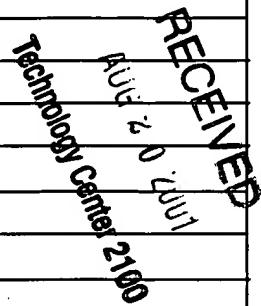
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	SCHMID, BF., "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electricn Markets</u> , October 1993, No. 9/10	
	TJOSTHEIM, I; EIDE, J., "A case study of an on-line auction for the World Wide Web", <u>Norwegian Computing Center</u> , No Date Given, Pages 1-10	
	GRAHAM, I., "The Emergence of Linked Fish Markets in Europe", <u>Focus Theme</u> , No Date Given, Pages 1-3	
	RECK, Martin., "Trasing-Process Characteristics of Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-7	

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				Filing Date	December 19, 2000 O P E
				First Named Inventor	Barry Boone
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	"Onsale joins fray as online shopping picks up speed: Internet Booms", <u>Computer Reseller News</u> , June 5, 1995, Page 73, CMP Publications, Inc., USA.	
	SIEGMANN, KEN, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42, Ziff-Davis Publishing Company	
	'Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, Pages 3	

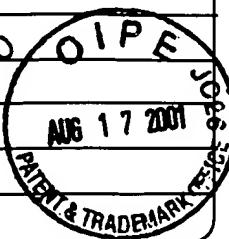
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	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21, Elsevier Science Publishing Co., Inc. New York, Ny.	
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	LEE, G., " Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", <u>Proceedings of the 29th HICSS</u> , 1996, Pages 397-406, Vol. IV	RECEIVED Technology Center 2100
	CLEMINS, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets" <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol 12	2001

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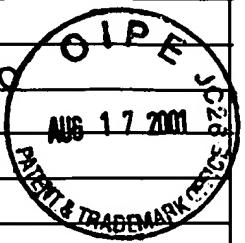
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
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Sheet 2 of 7

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Application Number	09/740,502
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	Office or Country	Number	Date			
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	France	2658635-A1	2.6.1991			
	Canada	2,253,543	3/19/1997			Yes

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	ROCKOFF, TE; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", <u>Internet Research - Electronic Networking Applications and Policy</u> , January 1, 1995, Vol 5, No. 4, Pages 10-16	
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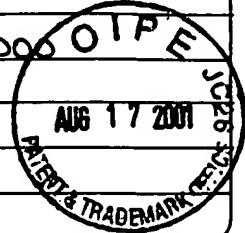
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First Named Inventor

Barry Boone

Group Art Unit

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Sheet 1 of 7

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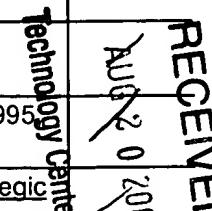
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	PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997	

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	MALONE, TW; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6, USA	 AUG 20 2001
	WARBELOW, A; KOKURYO, J, "Aucnet: TV Auction Network System". <u>Harvard Business School Case/Study</u> , July 1989, Pages 1-15, HBVR# 9-190-001, USA	
	"Personal Optimized Decision/Transaction Program", <u>IBM Technocal Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1, USA	
	NEO, BS, "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , December 1992, pages 278-288, Vol 1, No. 5	RECEIVED Technology Center 2000 AUG 20 2001

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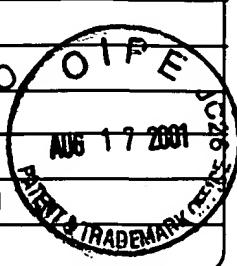
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	5,905,975		Ausubel	5/18/99	1/2/97
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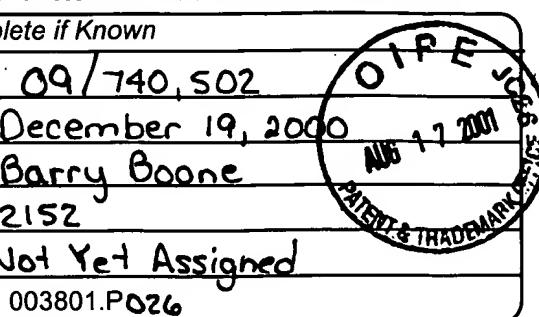
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STATEMENT BY APPLICANT**
(use as many sheets as necessary)

Application Number	09/740,502
Filing Date	December 19, 2000
First Named Inventor	Barry Boone
Group Art Unit	2152
Examiner Name	Not Yet Assigned

Sheet 1 of 1



U.S. PATENT DOCUMENTS

Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
	Number				
	5,884,056		Steele	3/16/99	12/28/95
	5,598,557		Doner et al.	1/28/97	9/22/92
	5,694,546		Reisman	12/2/97	5/31/94
	5,873,069		Reuhl et al.	2/26/99	10/13/95
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	5,771,291		Newton et al.	6/23/98	12/11/95
	5,872,848		Romney et al.	2/26/99	2/18/97

FOREIGN PATENT DOCUMENTS

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Sheet	1	of	1	Attorney Docket Number	003801.P026

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	Office or Country	Number	Date			
	PCT	WO 99/63461	5/20/99	Dinwoodie	12/9/99	
	PCT	US99/18510	10/23/98		8/12/99	
	PCT	US00/32088	4/4/2001		11/20/2000	

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	CHRIS PREIST, MARTEN vAN TOL, "International Conference on Information and Computation Economies", <u>Adaptive Agents in a Persistant Shout Double Auction</u> , (c) 1999, Pages 2.	

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